

Contact information of person submitting the nomination:

Email Address (Required)

First Name (Required)

Last Name (Required)

Company (Required)

Job Title (Required)

Work Phone (Required)

Mobile Phone (Required)

Work Address (Required): Street, City, State, ZIP Code

Nominee Information

Financial institution name (Required)

Technology provider name (if nominating a financial institution) (Required)

Business unit description within the financial institution (Required)

Category Selection

Client Experience and Operational Excellence Awards

1. Best Innovation in Advisor-Client Workflow
2. Best Innovation in Client Communication & Engagement
3. Best Innovation in Client Experience
4. Best Innovation in Client Portal
5. Best Innovation in Digital Marketing & Automation

Core Platform Innovation Awards

1. Best Innovation for the Advisor Desktop
2. Best Innovation in Alternative Investments & Private Markets
3. Best Innovation in Clearing and Custody
4. Best Innovation in Financial Planning
5. Best Innovation in Portfolio Management

Emerging Technology Awards

1. Best Innovation in AI-Driven Client Recommendations & Personalization
2. Best Innovation in AI for Operational Automation & Industry Intelligence
3. Best Innovation in AI-Powered Advisor Assistants/Copilots
4. Best Innovation in Portfolio Analytics & Risk Management
5. Best Innovation in Retirement & Income Planning
6. Best WealthTech Startup of the Year – Technology Vendors Only

Initiative Details

What? Detailed description of the initiative and intended goals (Required)

Why?

- Market challenges driving initiative (Required)
 - Market opportunities driving initiative (Required)
 - Detailed description of why this initiative is innovative (Required)
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How? (Details on Implementation)

- Replacing existing or implementing something new (Required):
 - [Choice] Replacing Existing
 - [Choice] Implementing Something New
- Implementation approach (Required):
 - [Choice] Internal Build
 - [Choice] Proprietary Configuration
 - [Choice] Vendor Solution
- If internal build, why internal build? (Required)
- If Proprietary Configuration of Vended Solutions/APIs, list vendors and configuration details (Required)
- If vendor solution, describe vendor selection process (Required)
- Deployment decision (on-premise, hosted, private cloud, etc.) (Required)
- Consulting/IT services partners for implementation (Required)
- Detailed Timeline - stakeholders, ideation process, key milestones, launch date (Required)
- Key Success Factors (Required)
- Post-implementation vendor assessment (Required)

Results

- Quantitative assessment/metrics of initiative (Required)
- Qualitative assessment/metrics of initiative (Required)
- Future initiative roadmap and goals (Required)