Contact Information (Submitter)

- Email Address (Required)

- First Name (Required)

- Last Name (Required)

- Company (Required)

- Job Title (Required)

- Work Phone (Required)

- Mobile Phone (Required)

- Work Address (Required): Street, City, State, ZIP Code

Nominee Information

- Financial institution name (Required)

- Technology provider name (if nominating a financial institution) (Required)

- Introduction of financial institution (Required)

- Business unit description within the financial institution (Required)

Category Selection

- Best Innovation for the Advisor Desktop
- Best Innovation in Clearing and Custody
- Best Innovation in Client Portal
- Best Innovation in Digital Marketing
- Best Innovation in Client Communication
- Best Innovation in Portfolio Management
- Best Innovation in Client Experience
- Best Innovation in Financial Planning
- Best Achievement in AI
- Best WealthTech Startup (Tech Vendors Only)

Initiative Details

- **What?** Detailed description of the initiative and intended goals (Required)

- **Why?**

    o   Market challenges driving initiative (Required)

    o   Market opportunities driving initiative (Required)

    o   Detailed description of why this initiative is innovative (Required)

- **How?** (Details on Implementation)

    o   Replacing existing or implementing something new (Required):

        ▪   [Choice] Replacing Existing

        ▪   [Choice] Implementing Something New

    o   Implementation approach (Required):

        ▪   [Choice] Internal Build

        ▪   [Choice] Proprietary Configuration

        ▪   [Choice] Vendor Solution

    o   If internal build, why internal build? (Required)

    o   If Proprietary Configuration of Vended Solutions/APIs, list vendors and configuration details (Required)

    o   If vendor solution, describe vendor selection process (Required)

    o   Deployment decision (on-premise, hosted, private cloud, etc.) (Required)

    o   Consulting/IT services partners for implementation (Required)

    o   Detailed Timeline – stakeholders, ideation process, key milestones, launch date (Required)

    o   Key Success Factors (Required)

    o   Post-implementation vendor assessment (Required)

Results

- Quantitative assessment/metrics of initiative (Required)

- Qualitative assessment/metrics of initiative (Required)

- Future initiative roadmap and goals (Required)